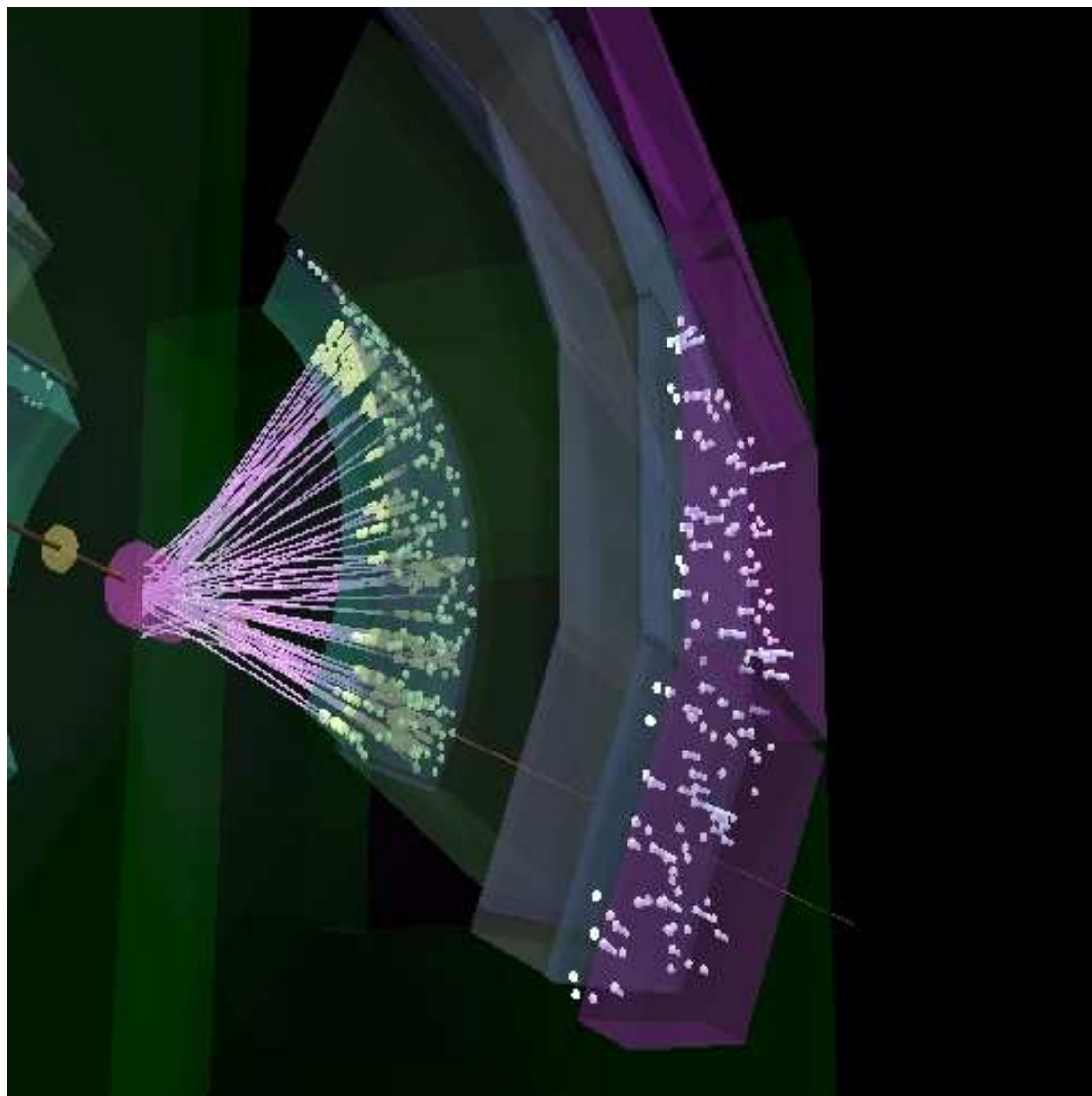


**Event-by-event fluctuations in the mean  $P_t$  (and  $E_t$ )  
of particles produced in Au+Au Collisions  
in the PHENIX Experiment at RHIC**



*Jeffery T. Mitchell  
(Brookhaven National  
Laboratory)  
for the PHENIX Collaboration*

*American Chemical Society  
Meeting*

*8/30/01*

# Fluctuation Measurements: Searching for a Phase Transition



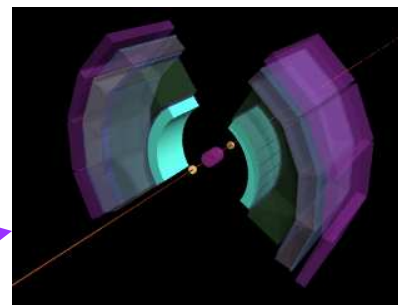
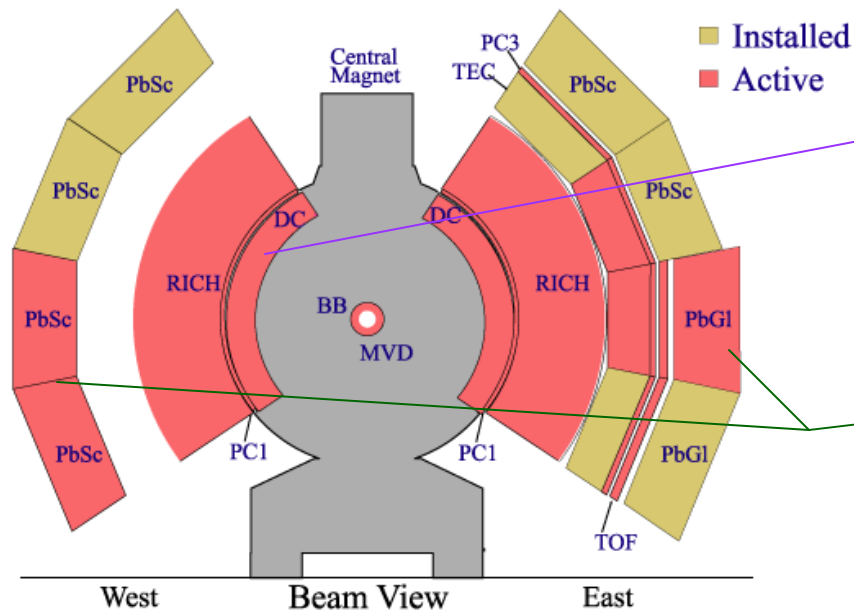
- *S. Mrowczynski (see Phys. Lett. B314 (1993) 118.)*

Instability of the plasma could be present, initiated as random color fluctuations. For some events, the fluctuations of particle transverse quantities would be magnified.

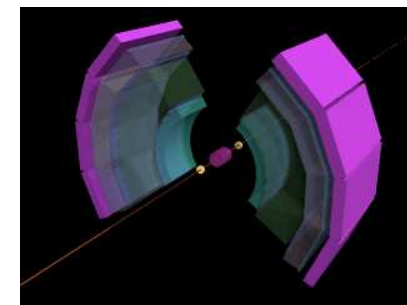
- *M. Stephanov, et. al. (see hep-ph/9903292)* suggest that near a tri-critical point in the QCD phase diagram, the event-by-event fluctuations in  $p_t$  could increase significantly.

**Analogy: Critical Opalescence**

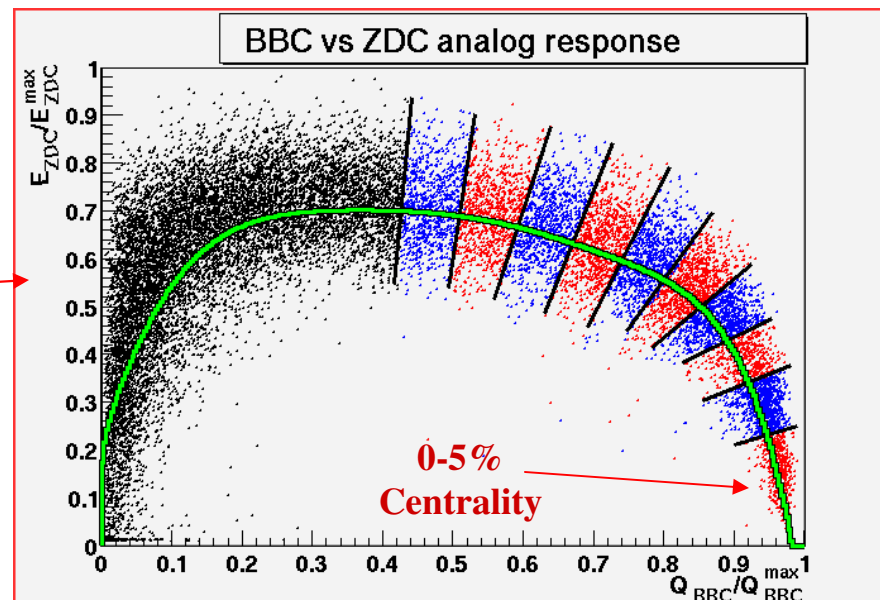
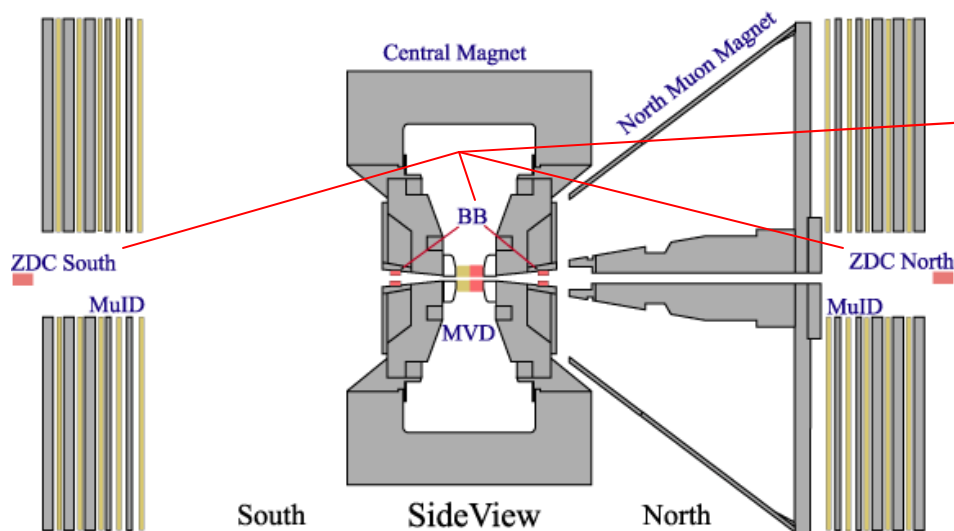
# PHENIX for Fluctuations



Drift Chamber:  
 $\langle P_t \rangle$



Calorimeters:  
 $\langle E_t \rangle$



Centrality Selection

# Analysis Details...

## Data:

- The mean  $P_t$  and  $E_t$  are determined on an event-by-event basis:

$$\langle P_t \rangle = \Sigma P_{t,i} / N \quad \langle E_t \rangle = \Sigma E_{t,i} / N$$

- $200 \text{ MeV}/c < P_t < 1.5 \text{ GeV}/c, \quad 225 \text{ MeV} < E_t < 2.0 \text{ GeV}$
- *An event must have at least 10 tracks/clusters per event to be included in the mean distribution.*

---

## Mixed Events:

- Mixed event distributions are built from reconstructed tracks/clusters in real events.
- *No 2 tracks/clusters from the same real event are allowed in the same mixed event.*
- *The number of tracks/clusters distribution,  $\langle n \rangle$ , in mixed events are matched to that for the data.*

## $\langle P_t \rangle$ Dataset Statistics

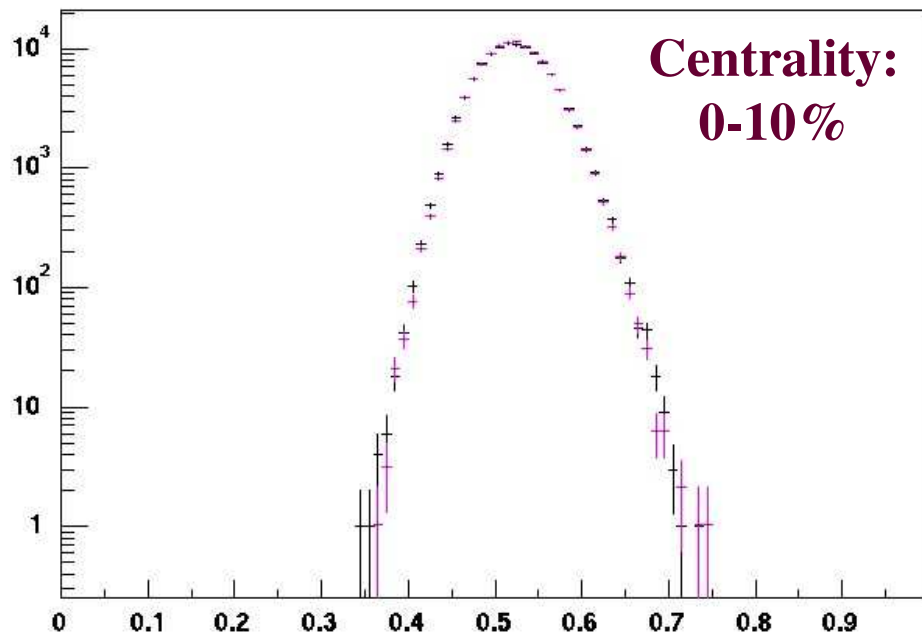
Small apertures in the PHENIX central arm spectrometers, but particles are plentiful in RHIC Collisions...

Acceptance:  $\eta < |0.35|$ ,  $\Delta\phi \sim 45^\circ$

*NOTE: Distributions are left uncorrected for static acceptance/efficiency*

<u>Centrality</u>	<u><math>\langle n \rangle</math></u>	<u><math>\langle P_t \rangle</math></u>
0-5% 51,163 events	$60.5 \pm 10.7$	$.523 \pm .038$
0-10% 110,122 events	$55.6 \pm 11.7$	$.523 \pm .041$
10-20% 119,248 events	$39.4 \pm 9.9$	$.523 \pm .050$
20-30% 112,301 events	$28.0 \pm 7.6$	$.522 \pm .061$
30-40% 112,388 events	$18.9 \pm 6.4$	$.519 \pm .073$





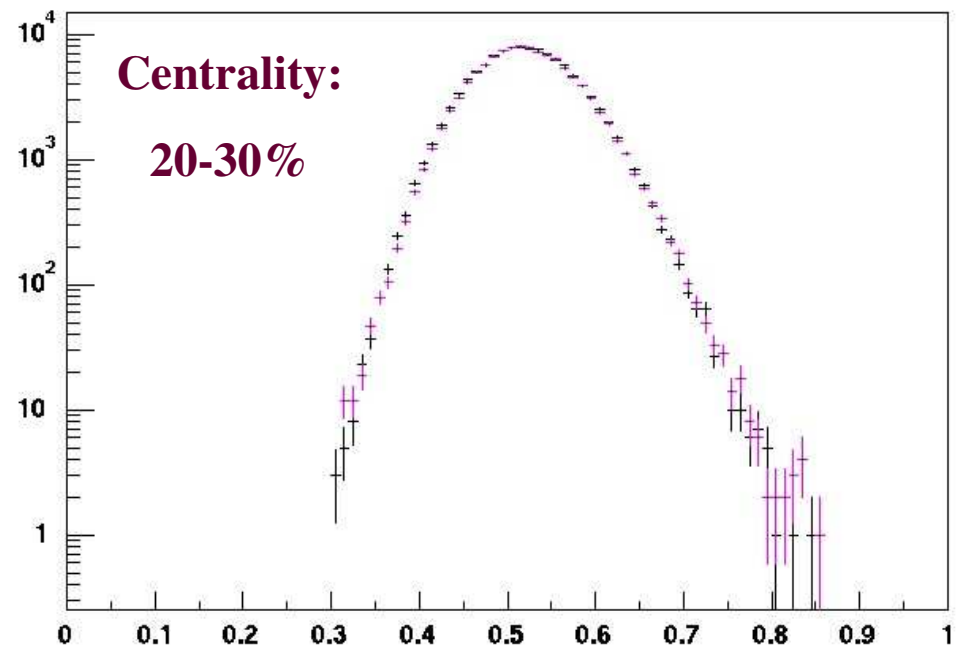
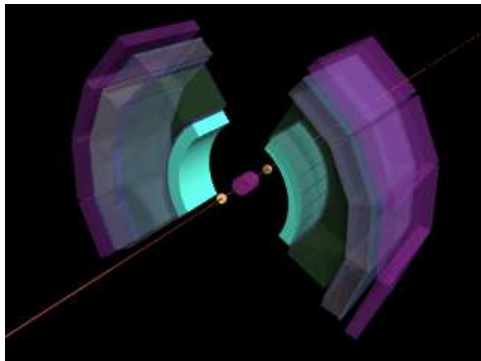
**Centrality:  
0-10%**

**$\langle P_t \rangle$  , as a  
function of  
centrality**

**PHENIX  
Preliminary**

$\langle P_t \rangle$  (GeV/c)

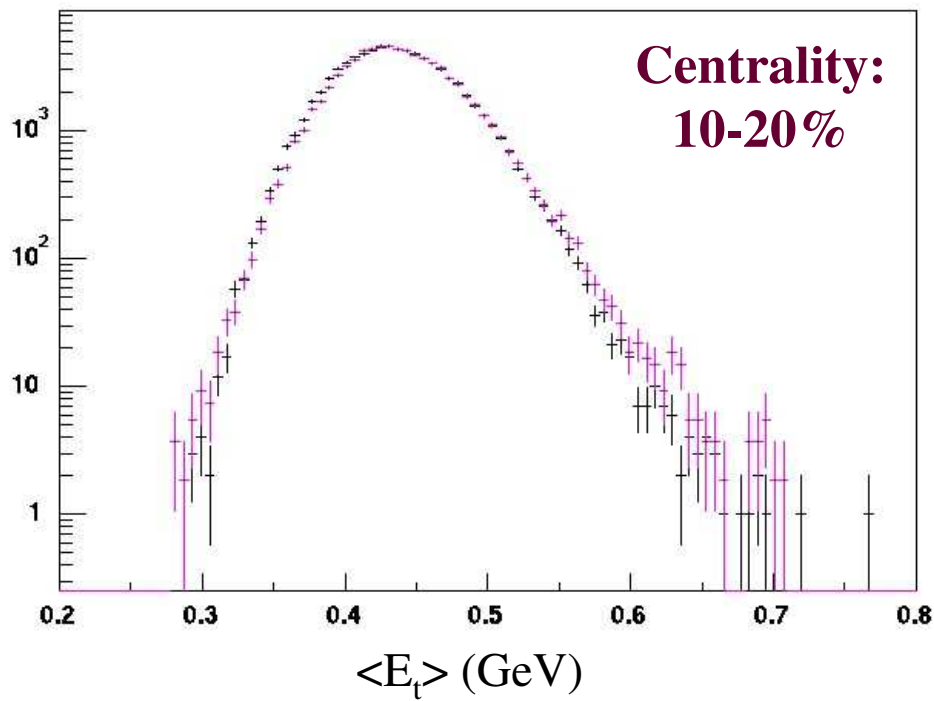
— Mixed Event  
Distribution



**Centrality:  
20-30%**

$\langle P_t \rangle$  (GeV/c)

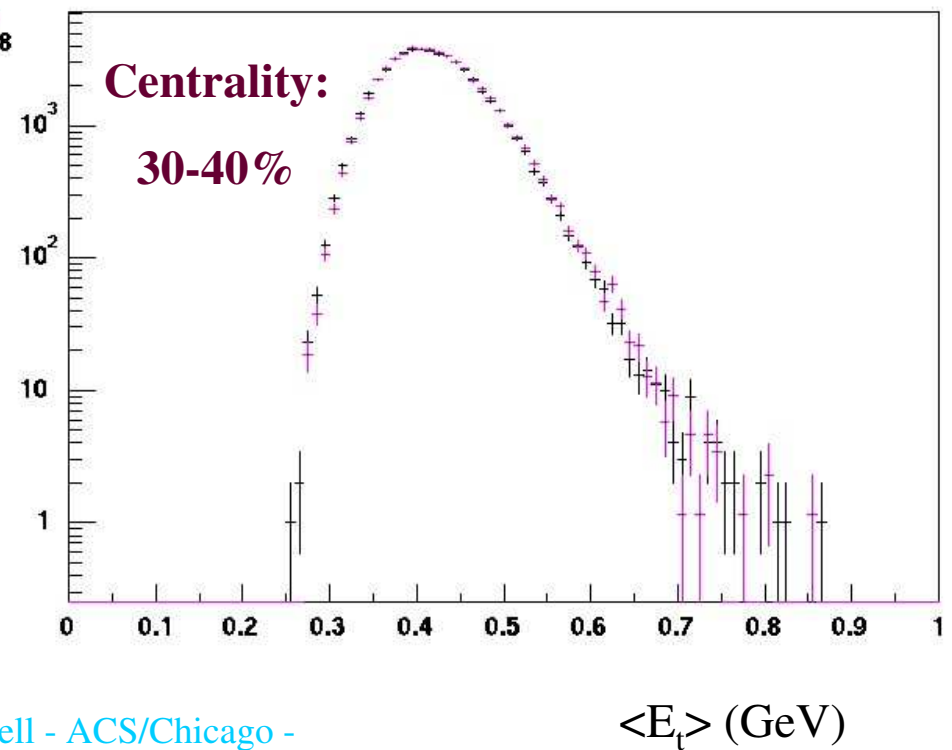
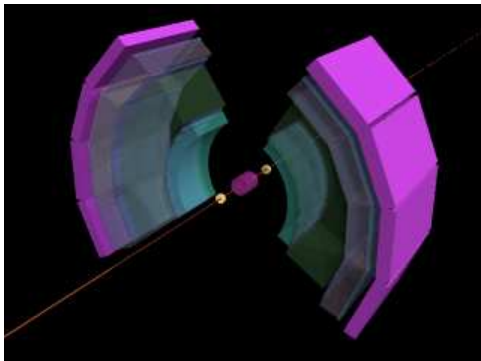
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$\langle E_t \rangle$  , as a  
function of  
centrality

PHENIX  
Preliminary

— Mixed Event  
Distribution



## Relating Semi-inclusive to Event-by-Event $P_t$ and $E_t$ Spectra

### Calculation for Statistically Independent Emission:

- See *M. Tannenbaum, Phys. Lett. B498 (2001) 29.*

The random distribution is a gamma distribution,  $f_\Gamma(M_X, np, nb)$ , where

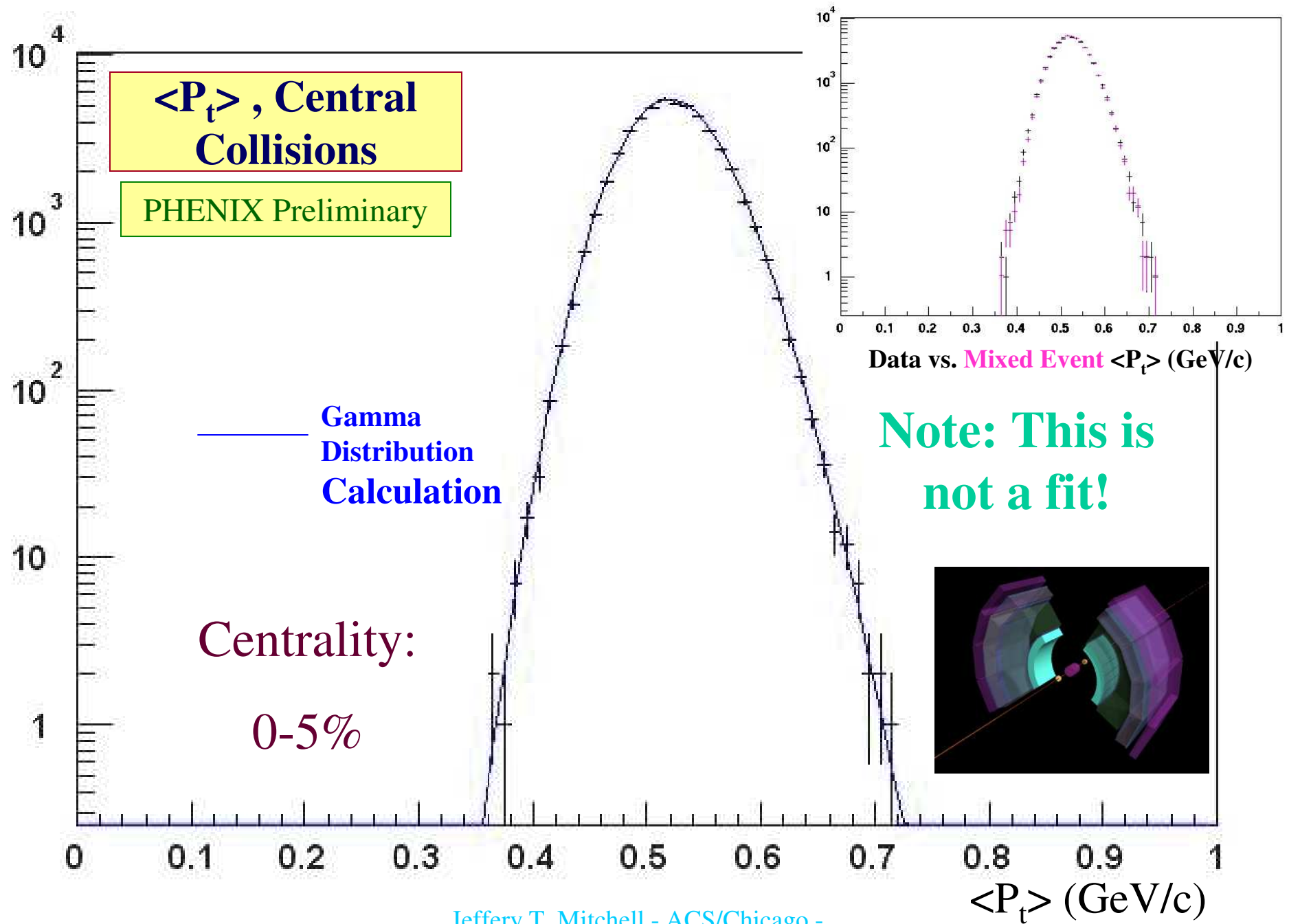
$$p = \frac{\langle X \rangle^2}{\sigma_X^2} \qquad b = \frac{\langle X \rangle}{\sigma_X^2}$$

- Using these parameters extracted from the semi-inclusive distributions, calculate:

$$f(\text{Mean}_X) = \sum f_{\text{NBD}}(n, 1/k, \langle n \rangle) f_\Gamma(\text{Mean}_X, np, nb),$$

summed from  $n=n_{\min}$  to  $n=n_{\max}$





## Quantifying the Fluctuations

Define the magnitude of a fluctuation,  $\omega$ :

$$\omega = \frac{\sqrt{\langle X^2 \rangle - \langle X \rangle^2}}{\langle X \rangle} \times 100\% = \frac{\sigma}{\mu} \times 100\%$$

Define the percent fluctuation difference from random,  $d$ :

$$d = \omega_{data} - \omega_{random}$$

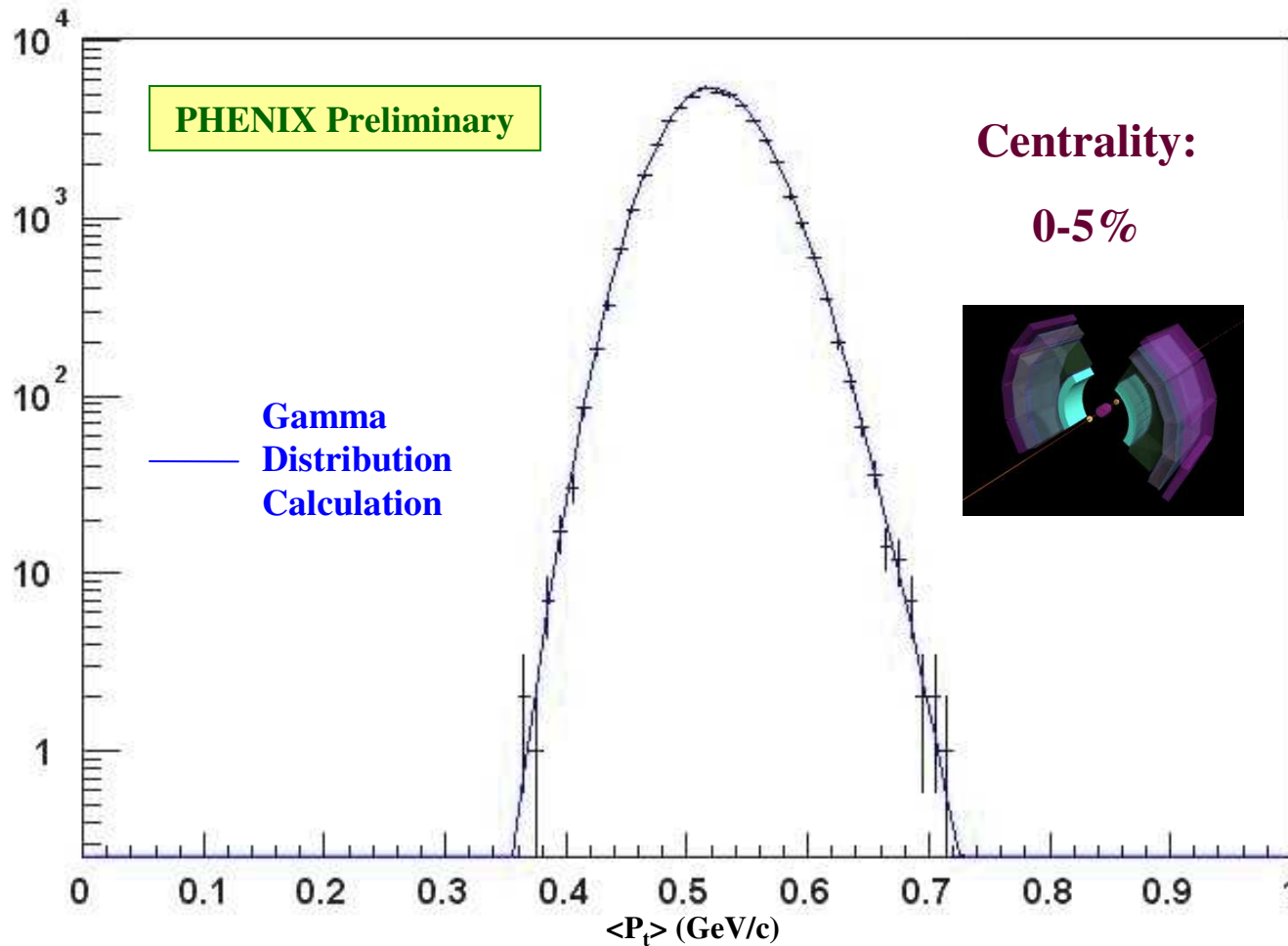
Also commonly used is the variable,  $\phi$ :

$$\phi = \sqrt{n}(\sigma_{data} - \sigma_{random}) = d\mu\sqrt{n}$$

# Quantifying the Fluctuations

$$d = 0.26 \pm 0.1\%$$

$$\phi = 10.5 \pm 1.5 \text{ MeV}$$

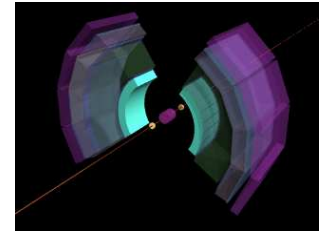


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# Comparing Data to Mixed Event Distributions

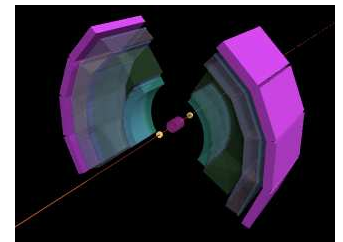
$\langle P_t \rangle$ :

<u>Centrality</u>	<u><math>\Delta</math></u>	<u><math>\phi</math></u>
0-5%	$0.14 \pm 0.11 \%$	$5.65 \pm 4.44 \text{ MeV}$
0-10%	$0.16 \pm 0.18 \%$	$6.14 \pm 6.91 \text{ MeV}$
10-20%	$0.19 \pm 0.19 \%$	$6.01 \pm 6.01 \text{ MeV}$
20-30%	$0.21 \pm 0.33 \%$	$5.49 \pm 8.63 \text{ MeV}$
30-40%	$0.26 \pm 0.29 \%$	$5.23 \pm 5.83 \text{ MeV}$



$\langle E_t \rangle$ :

<u>Centrality</u>	<u><math>\Delta</math></u>	<u><math>\phi</math></u>
0-10%	$0.34 \pm 0.58 \%$	$11.5 \pm 19.6 \text{ MeV}$
10-20%	$-0.04 \pm 0.38 \%$	$-1.06 \pm 10.56 \text{ MeV}$



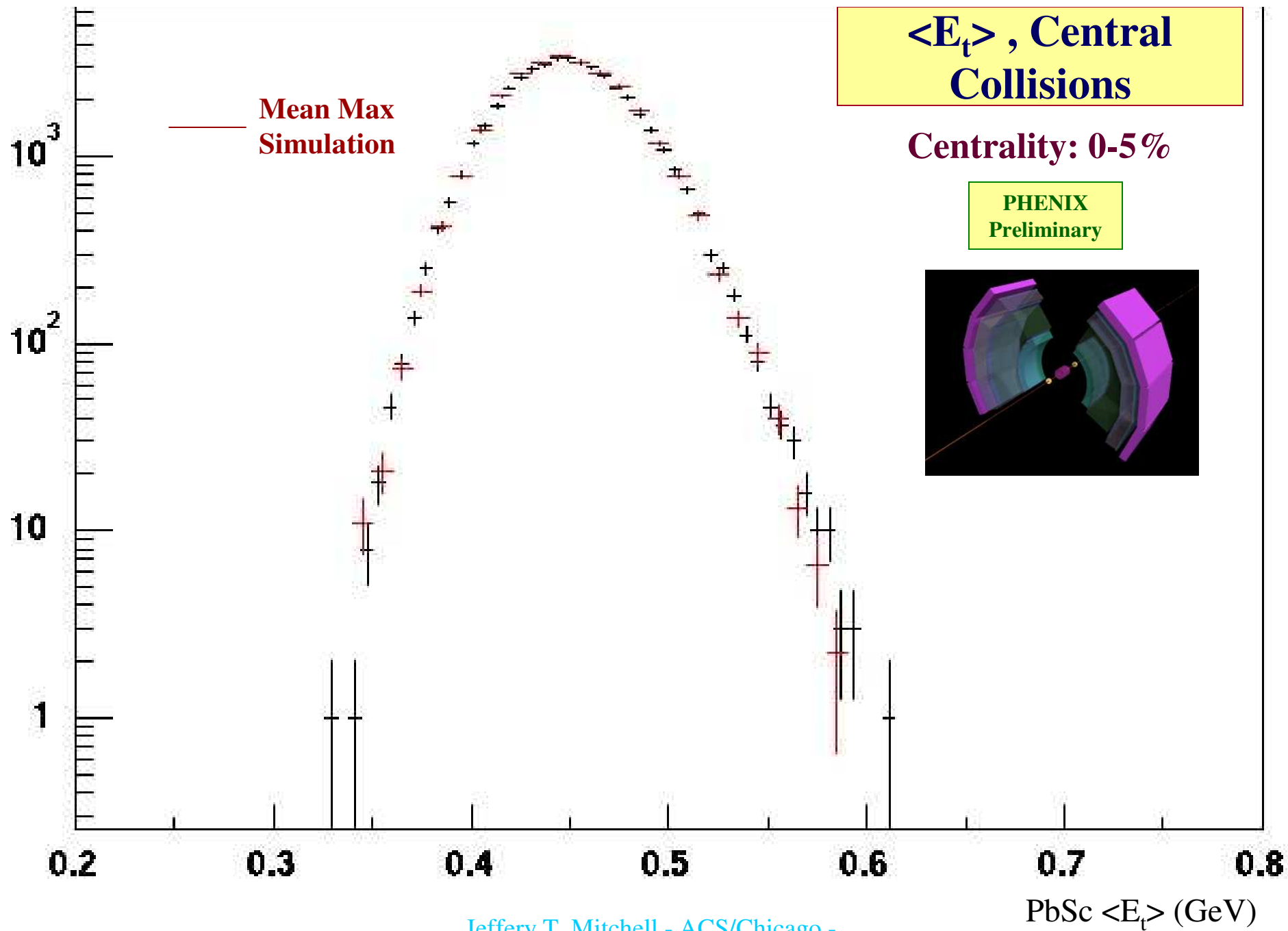
# Determining the Fluctuation Sensitivity

## Simulation for Statistically Independent Emission:

MEAN MAX

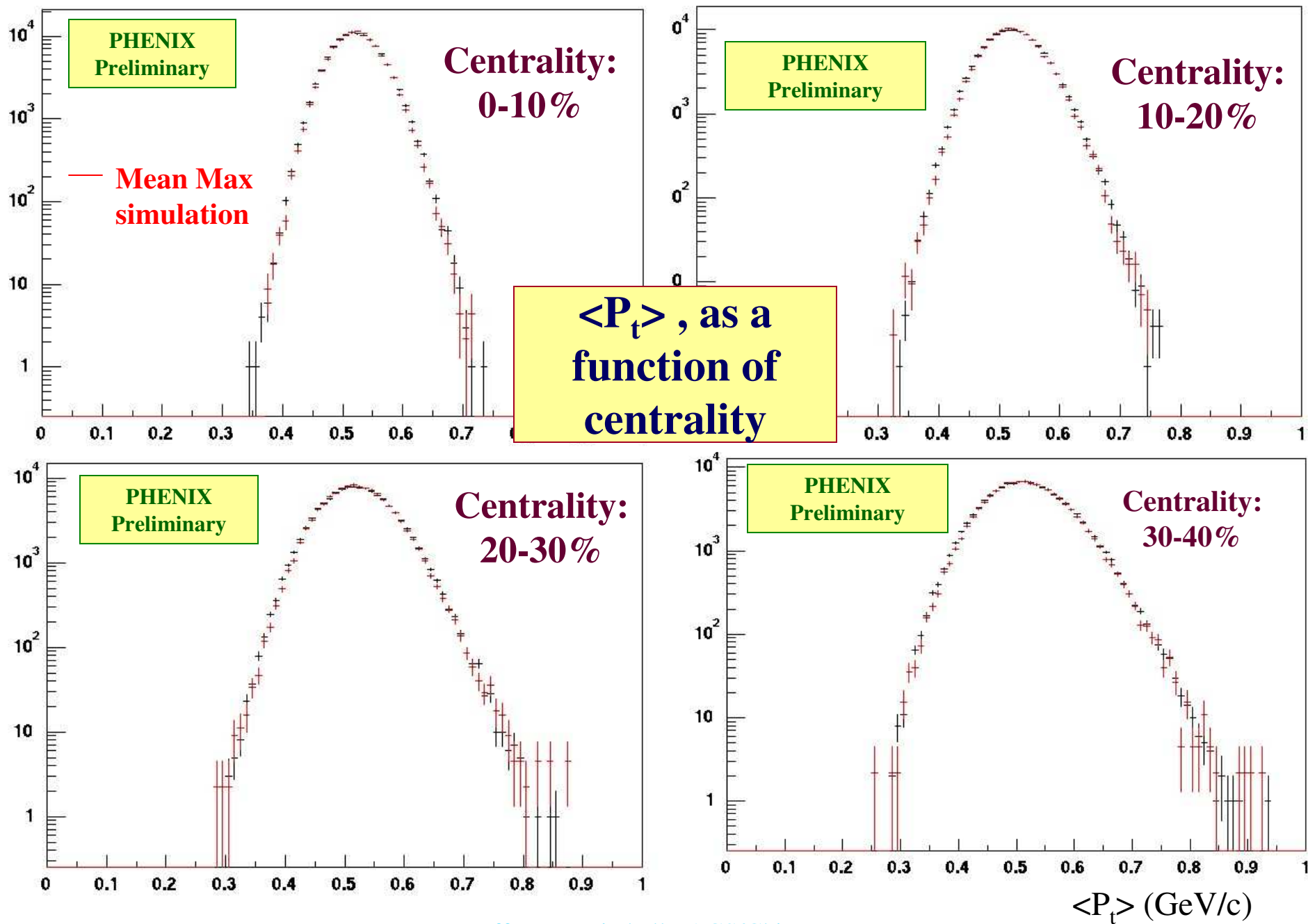
- Parameterizes the semi-inclusive  $P_t$  or  $E_t$  (as a Gamma or exponential distribution) and  $\langle n \rangle$  (Gaussian) distributions over the same ranges used to calculate  $\langle P_t \rangle$  and  $\langle E_t \rangle$  for the data.
- Generates  $\langle P_t \rangle$ ,  $\langle E_t \rangle$  after applying cuts on  $n_{\min}$ ,  $P_t$ , and  $E_t$  ranges.
- For the calorimeter, cluster merging is simulated by matching the cluster separation distribution, per event, to the data.





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## Modelling a fluctuation

Goal: Produce a fluctuation that does not change the mean of the final semi-inclusive distribution.

- The final semi-inclusive distribution can be expressed as:

$$\frac{d\sigma}{dp_t} = b^2 p_t e^{-bp_t} = \Gamma(p_t, p=2, b = 2 / \langle p_t \rangle)$$

where  $T = 1/b$  is the *inverse slope parameter* of the distribution.

- Define the event-by-event fluctuation fraction,  $q$ :

$$q = \frac{N_{\text{events, fluctuating}}}{N_{\text{events, non-fluctuating}}}$$

## Modelling a fluctuation

Goal: Produce a fluctuation that does not change the mean of the final semi-inclusive distribution.

- The distribution for a fluctuating sample can be taken as:

$$f(p_t) = q \times \Gamma(p_t, b1, p1) + (q - 1) \times \Gamma(p_t, b2, p2)$$

- For both distributions to have the same  $\mu$ :

$$\mu = p/b = p_1/b_1 = p_2/b_2$$

- Choose  $p1$  and  $q$ . Obtain  $p2$  using:

$$p_2 = \frac{1 - q}{\frac{1}{p} - \frac{q}{p_1}}$$

- Use the constant  $\mu$  to extract  $b1$  and  $b2$ .

# An example of a modelled large fluctuation

**Black** = baseline distribution within the PHENIX acceptance. No fluctuation modelled.

**Red** = Fluctuation distribution with

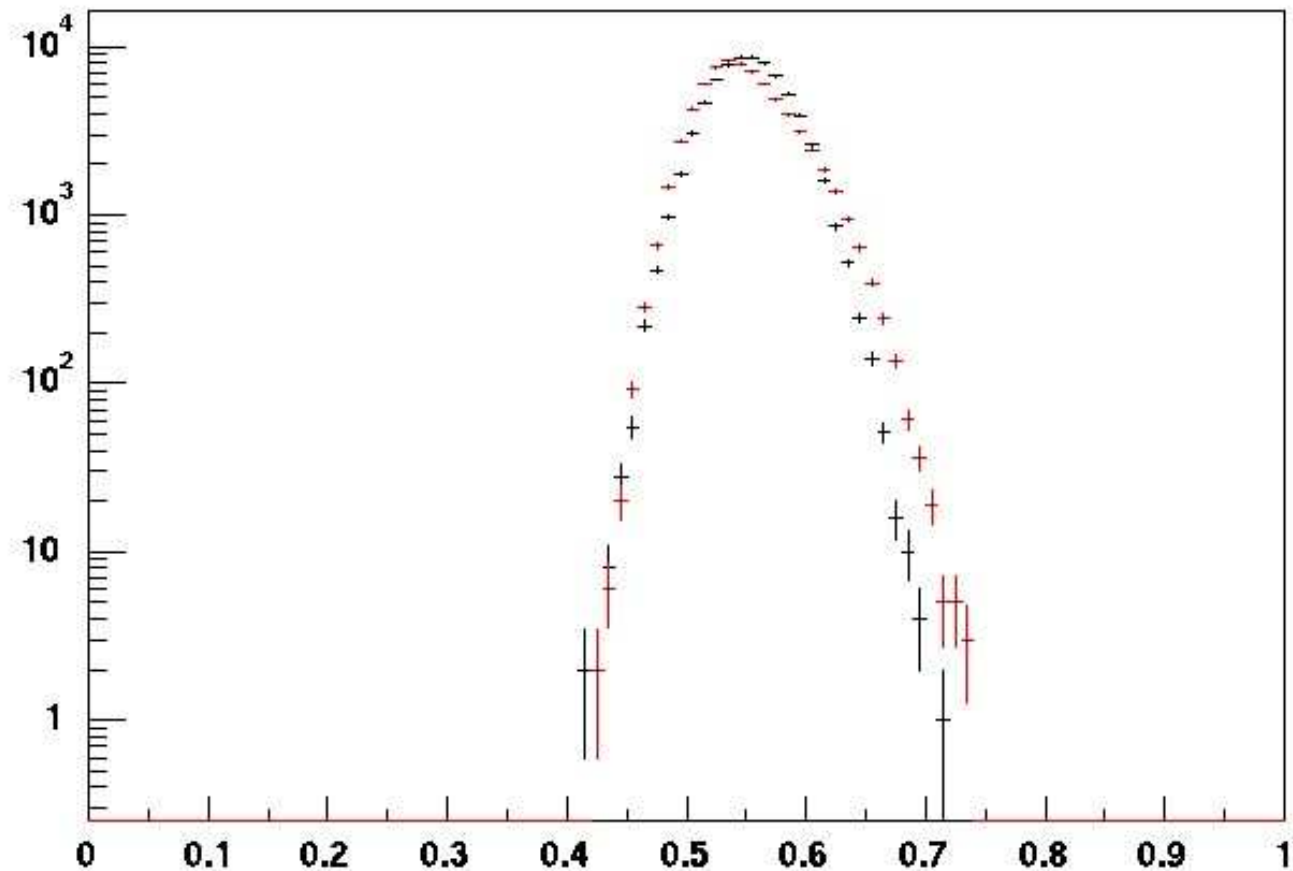
**$q = 60\%$ ,  $b_1 = 87.1$  MeV,  $b_2 = 257$  MeV.**

**$\omega_{\text{base}} = 6.02\%$**

**$\omega_{\text{model}} = 6.98\%$**

**$d = 0.96\%$**

**$\phi = 38.7$  MeV**



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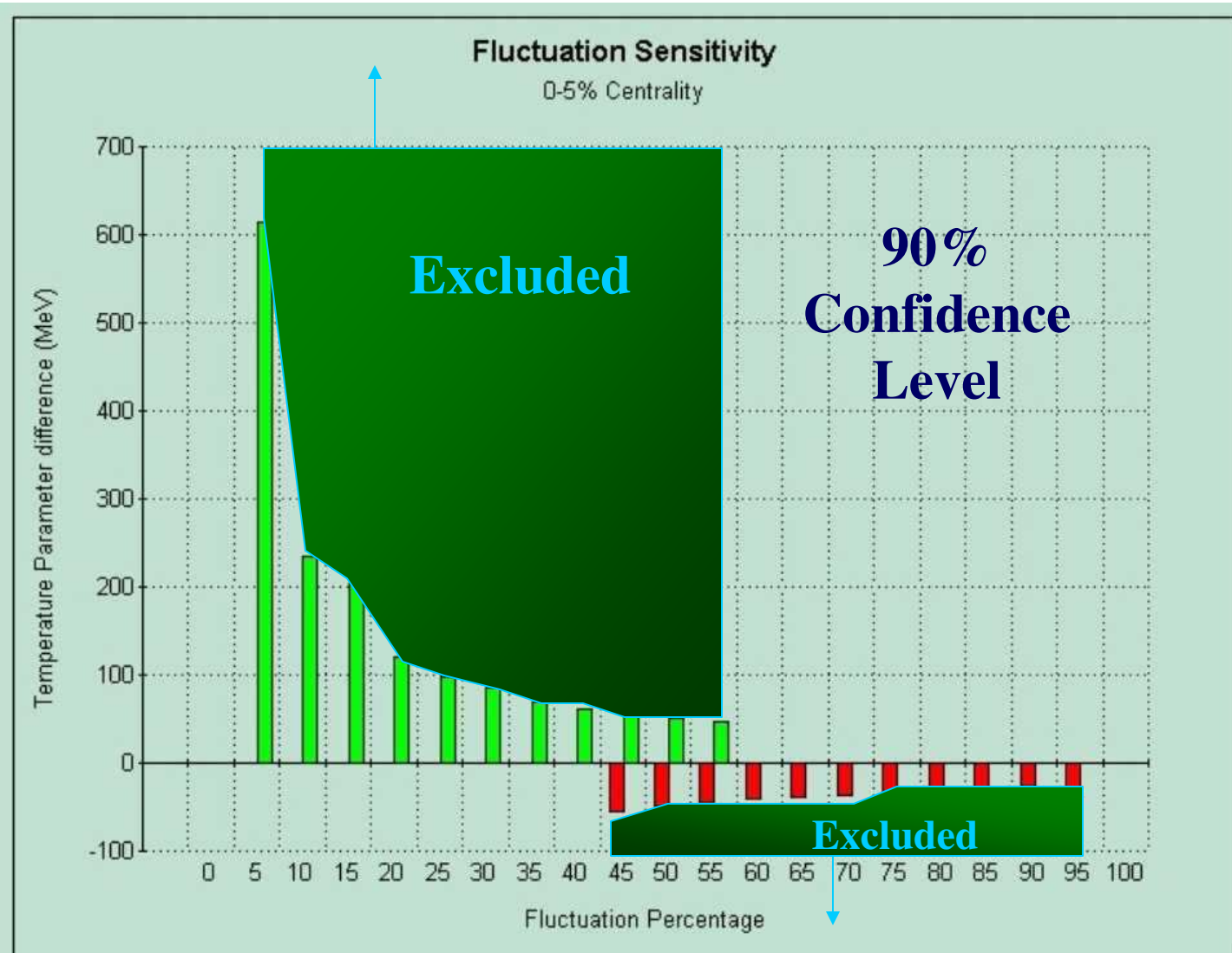
# Determining the Fluctuation Sensitivity

## Procedure

- Start with identical  $\langle n \rangle$  and semi-inclusive spectra as for the data.
- Scan over the fluctuation fraction  $q$ , and  $p_1$ .
- Randomly determine fluctuating events against  $q$ .
- Generate  $qN$  events with distribution 1, and  $(1-q)N$  events with distribution 2.
- Include separate background distributions on a per particle basis. These are estimated by processing HIJING events through *GEANT* + *detector response* + *track and momentum reconstruction*.
- Calculate  $\langle P_t \rangle$  for all events and calculate  $d$ .



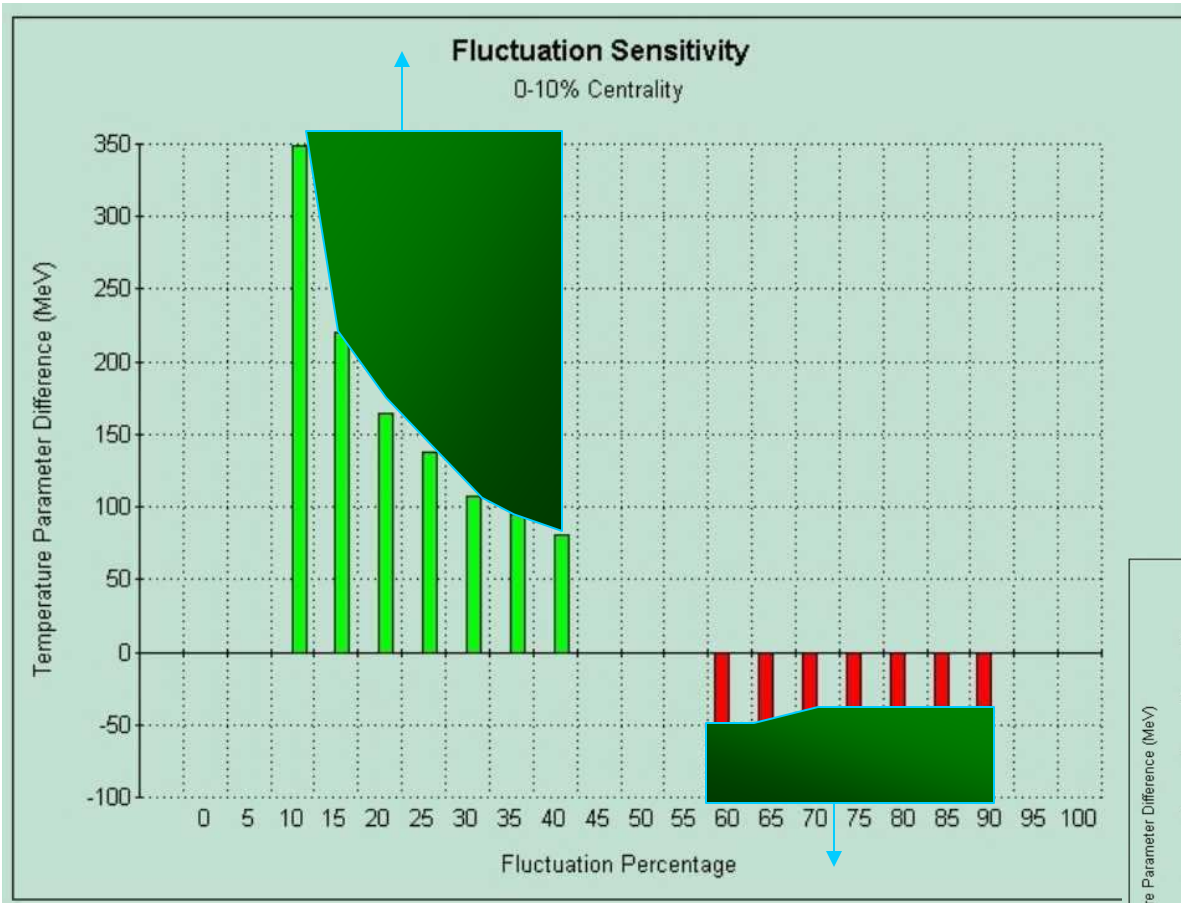
## $\langle P_t \rangle$ Fluctuation Limits: 0-5% Centrality



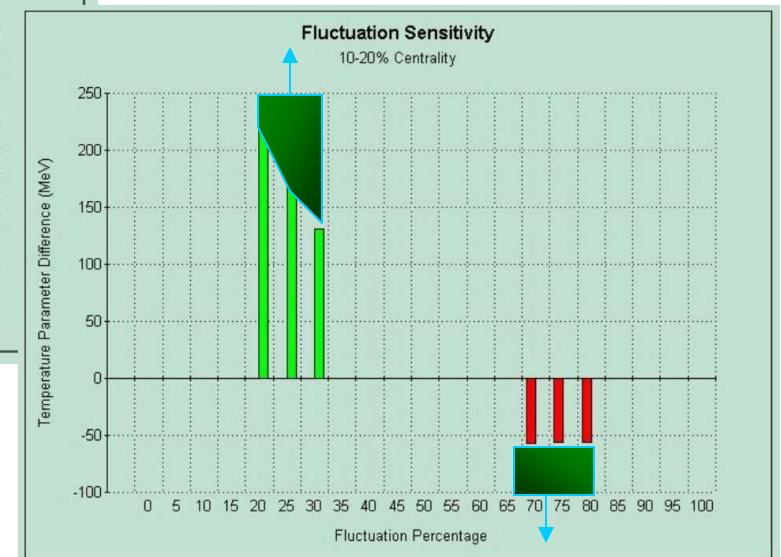
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# $\langle P_t \rangle$ Fluctuation Limits: 0-20% Centrality



**90 %  
Confidence  
Level**



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# Conclusions and Outlook

## Conclusions:

- This analysis does not see large non-statistical fluctuations in the event-by-event mean transverse momentum or mean transverse energy spectra within centralities ranging from the upper 0-40% of the total cross section at mid-rapidity.
- All event-by-event spectra can be described by the semi-inclusive spectra.
- Given a simple temperature fluctuation model, limits have been set on the level of fluctuations based upon these measurements.

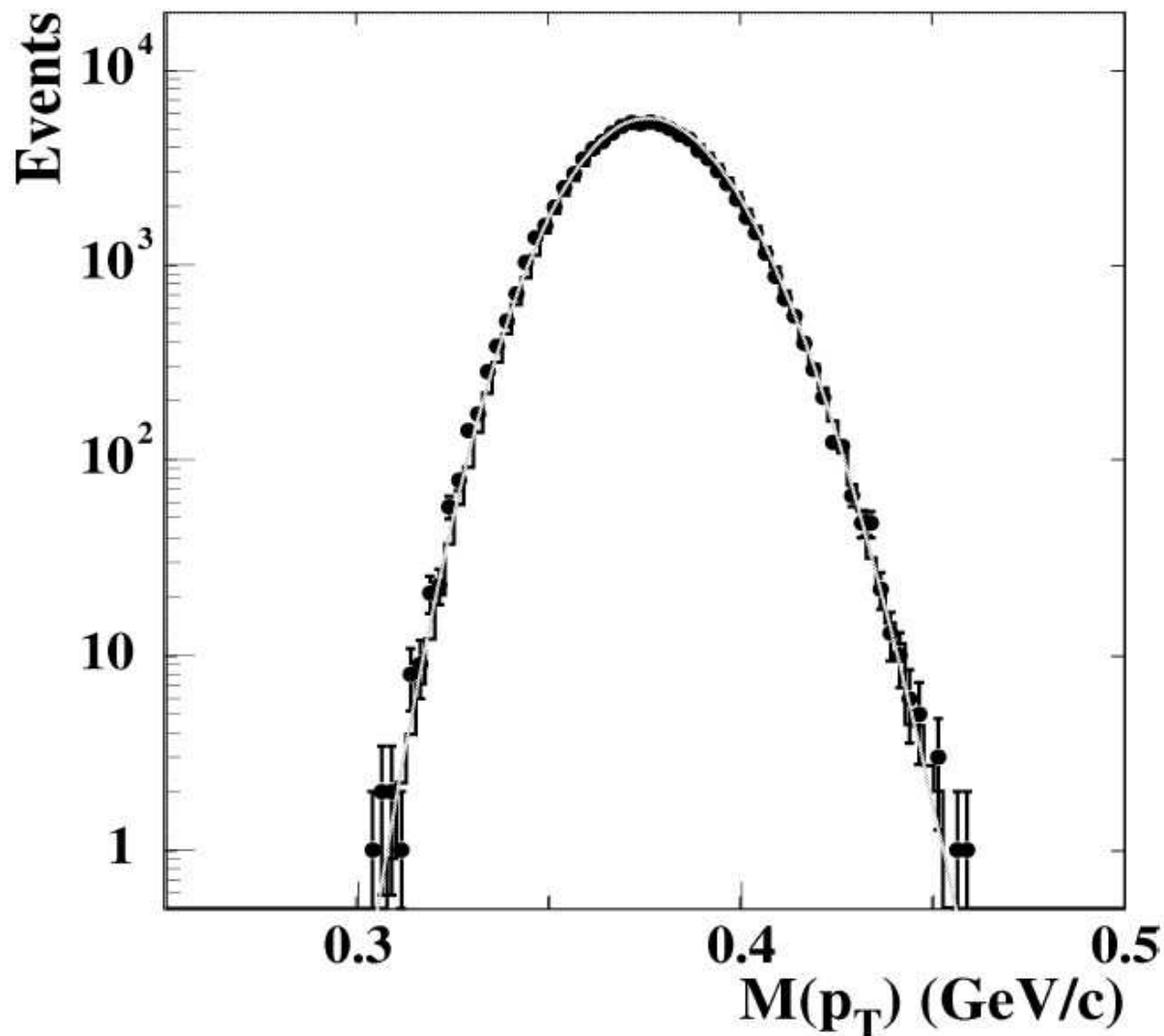
## Outlook:

- Extension of this analysis to cover more peripheral collisions will be possible in the 2001 PHENIX run due to a factor of  $\sim 4$  increase in acceptance in the central arm spectrometers.

# Explaining Increased Fluctuations Near a Tri-Critical Point

- According to: *M. Stephanov, et. al. (see hep-ph/9903292)*
- At freeze-out (as a chiral transition), the  $\sigma$  meson is the most numerous particle species, and it is nearly massive at this time. All fields can fluctuate at the QCD tri-critical point.
- Since the  $\pi$  is massive, the  $\sigma$  cannot immediately decay. It must wait for the density to decrease and for its mass to rise towards the vacuum value.
- Once the  $\pi\pi$  threshold is exceeded, the decay proceeds rapidly since the  $\sigma\pi\pi$  coupling is large. This occurs after freeze-out, so the pions don't thermalize.
- If the  $\sigma$  mass at freeze-out is  $< T$ , the thermal fluctuations of  $N_\sigma$  are determined by the classical statistics of the  $\sigma$  field rather than by Poisson statistics of the particles. This implies that  $\langle N_\sigma^2 \rangle - \langle N_\sigma \rangle^2 \sim \langle N_\sigma \rangle^2$  rather than  $\langle N_\sigma \rangle$ .
- Therefore, large event-by-event fluctuations could be expected in  $N_\pi$  and  $\langle p_t \rangle$ .

## $\langle P_t \rangle$ Measurement from CERN Experiment NA49

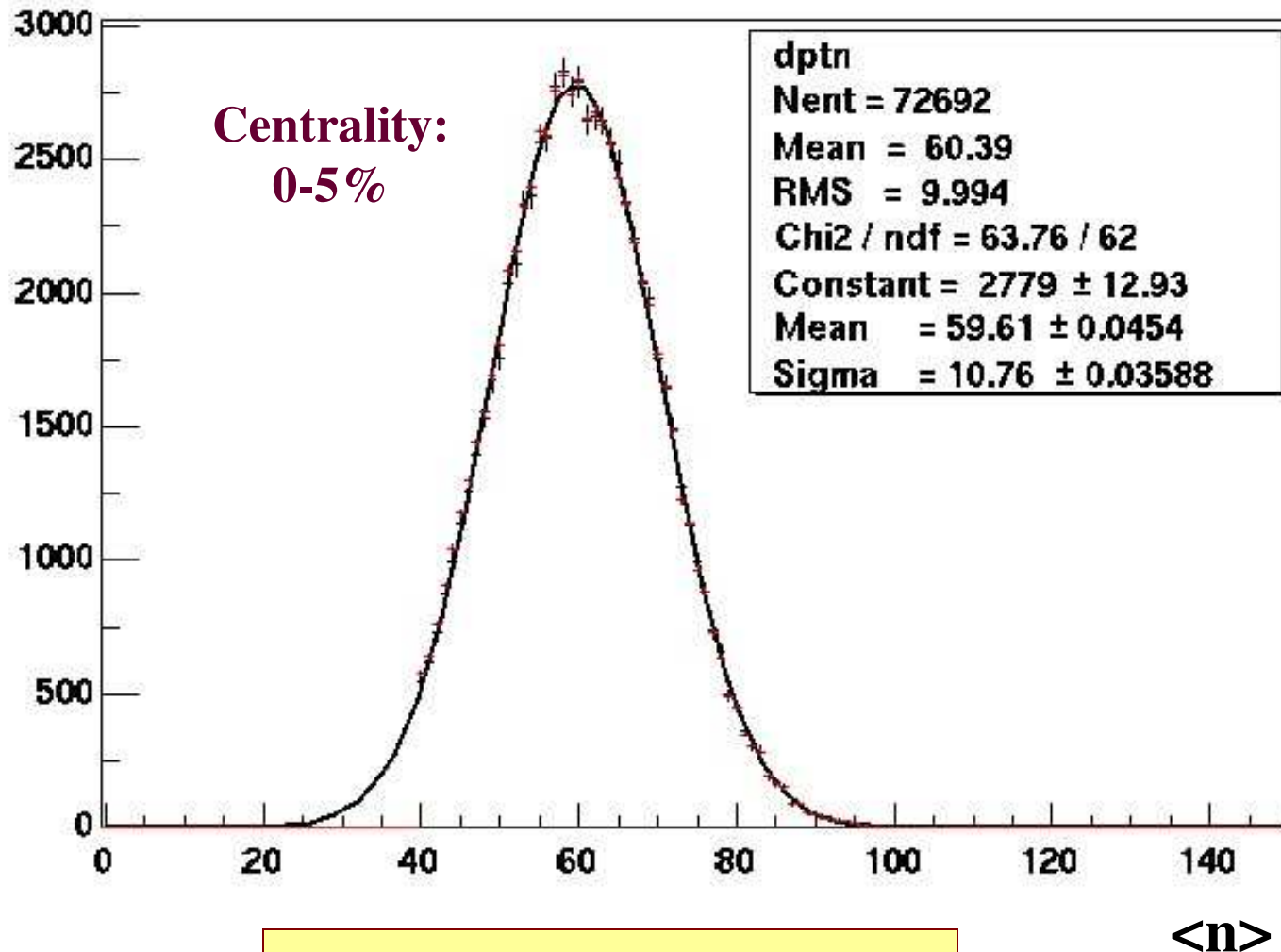


- See H. Appelshauser, et. al., Phys. Lett. B459 (1999) 679.

- Distribution is compatible with independent particle production.

## **$\langle E_t \rangle$ Dataset Statistics**

<u>Centrality</u>	<u><math>\langle n \rangle</math></u>	<u><math>\langle E_t \rangle</math> (GeV)</u>
0-5% 45,042 events	$65.9 \pm 11.1$	.451
0-10% 90,151 events	$60.3 \pm 12.5$	.448
10-20% 75,289 events	$42.0 \pm 9.4$	.438
20-30% 73,634 events	$28.8 \pm 7.9$	.428
30-40% 51,427 events	$19.0 \pm 6.8$	.422



Number of  
tracks (clusters)  
per event:

Black = data

Red = mixed  
events

Matching Mixed Event  
Characteristics to Real  
Event Characteristics



# PbSc Mean Et: Cluster Merging Introduces Correlations.

*Mean Max* is again used to model the affect of merged clusters.

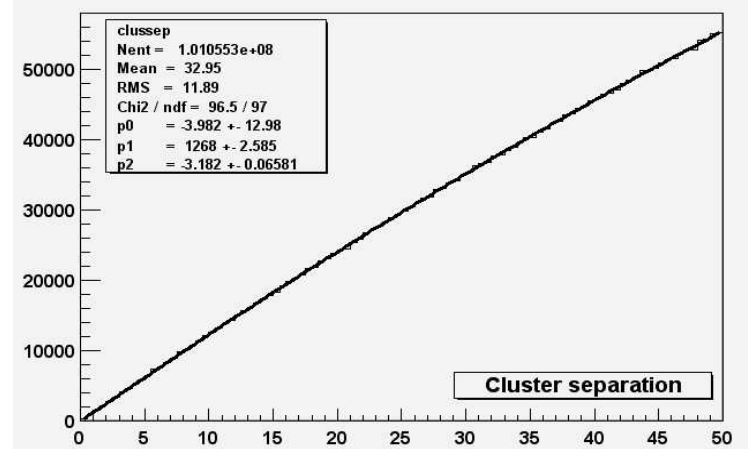
**Procedure:** Generate clusters one at a time using the same prescription as used for  $M_{pt}$ .

In addition, generate a cluster position randomly across the face of the calorimeter (in  $\phi$  and  $z$ ).

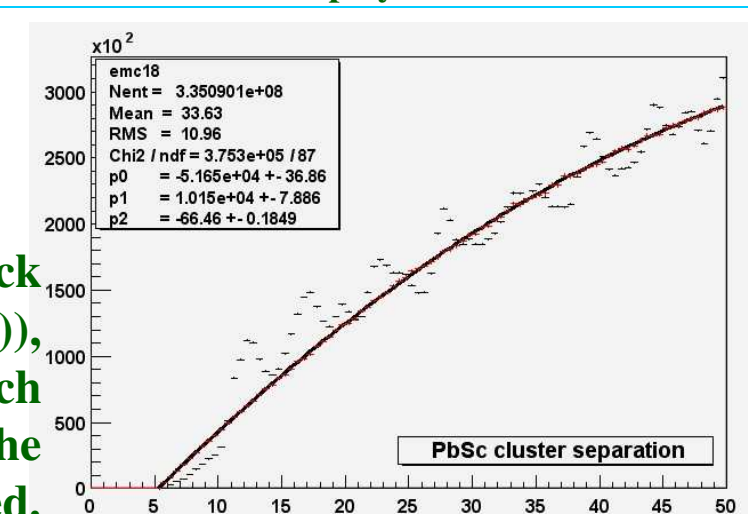
For each additional cluster, calculate its separation from each existing cluster in the event. Consult a “merging probability” distribution,  $R(d)$  (see right), to test for a merge. If merged, add the energies and don’t increment the cluster counter. If no merge to any existing cluster is tagged, just add the new cluster to the event as is.

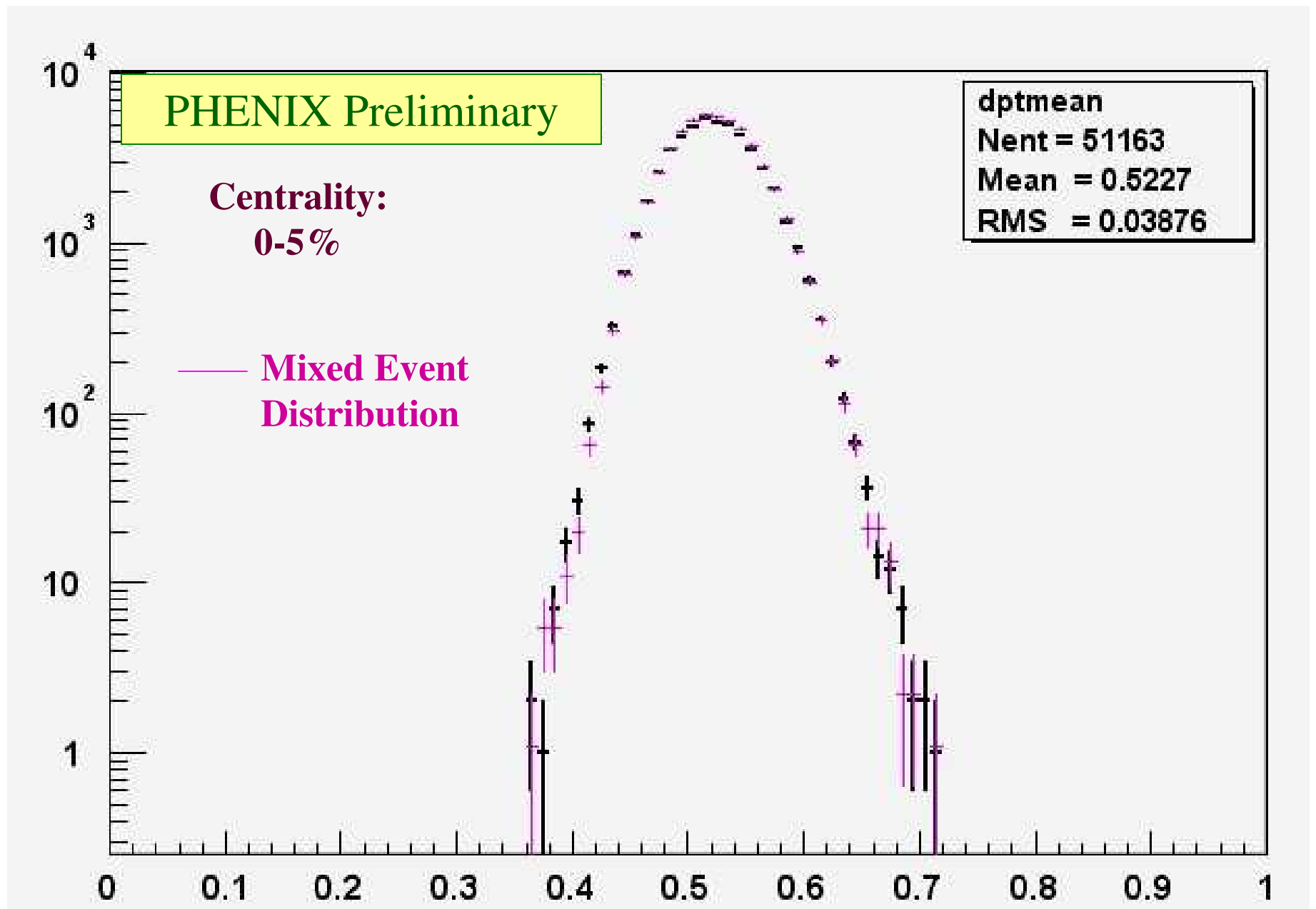
The cluster separation from the data (black points), a 2nd order polynomial fit ( $P(d)$ ), and the generated distribution (red), which is  $R(d) = S P(d)/B(d)$ .  $S$  is a scale factor. The data oscillations are not modelled.

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Cluster separation from a random position distribution of clusters without merging. The fit is a 2nd order polynomial.





## Net charge fluctuations: A signal for QGP?

(S. Jeon & V. Koch PRL 85(2000)2076)

(M. Asakawa, U. Heinz, B. Müller, PRL 85(2000)2072)

Expected fluctuations in net charge,  $Q (= N_+ - N_-)$  :

$$\text{Hadron gas : } \frac{\langle Q^2 \rangle}{\langle N_{\text{ch}} \rangle} = 1$$

(A reduction is expected due to global charge conservation and resonances, depending on the acceptance.)

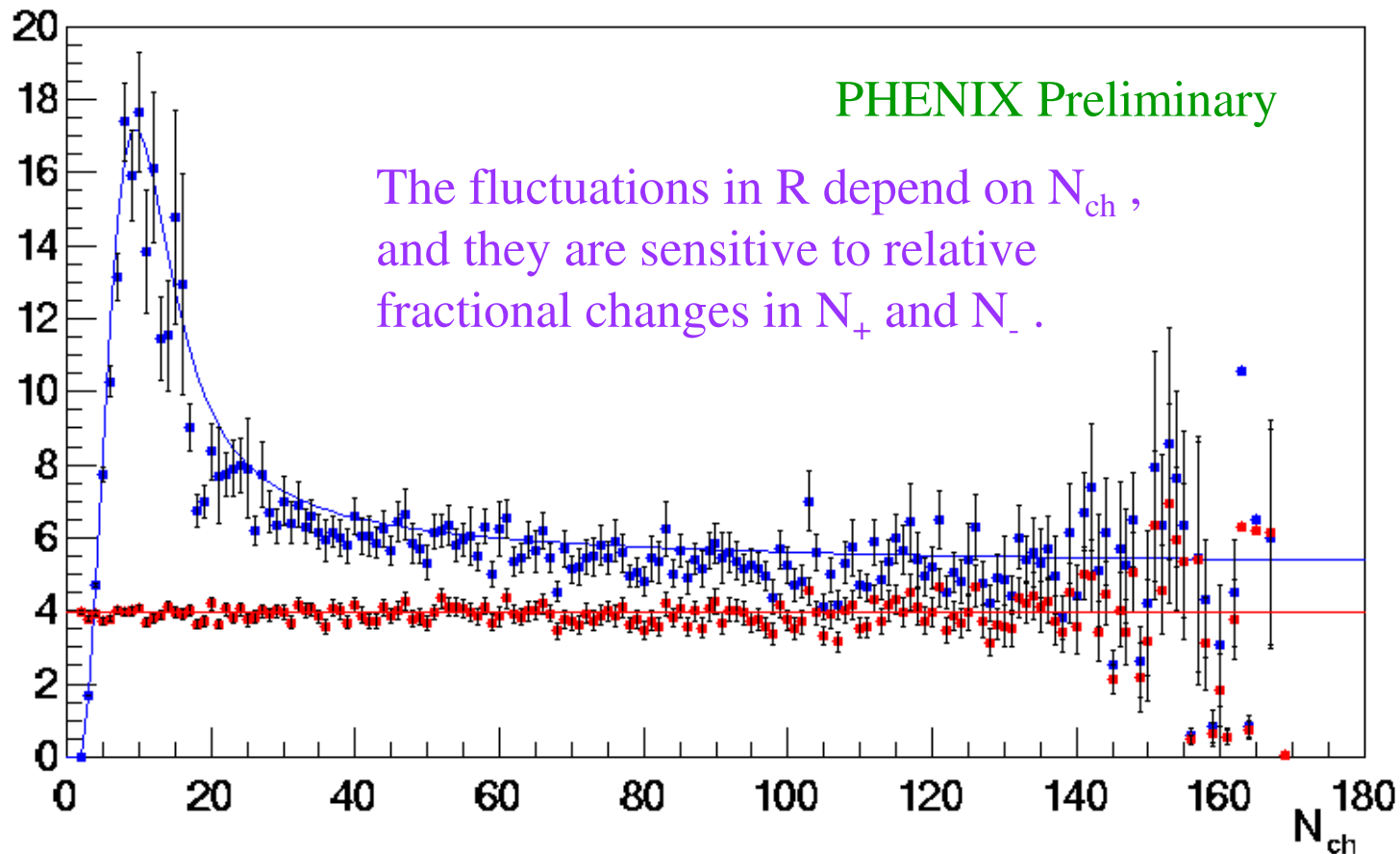
$$\text{QGP : } \frac{\langle Q^2 \rangle}{\langle N_{\text{ch}} \rangle} \approx 0.20 - 0.25 \quad (\text{S. Jeon \& V. Koch PRL 85(2000)2076})$$

The use of  $R = N_+ / N_-$  is proposed.

Asymptotically, for large  $N_{\text{ch}}$  :

$$\langle N_{\text{ch}} \rangle \langle R^2 - \langle R \rangle^2 \rangle \approx 4 \frac{\langle Q^2 \rangle}{\langle N_{\text{ch}} \rangle}$$

# PHENIX Charge Fluctuations



$$(\langle R^2 \rangle - \langle R \rangle^2) * N_{ch}$$

$$(R = N_+ / N_-)$$

$$4 (\langle Q^2 \rangle - \langle Q \rangle^2) / N_{ch}$$

$$(Q = N_+ - N_-)$$